

Package ‘funHDDC’

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Title Univariate and Multivariate Model-Based Clustering in Group-Specific Functional Subspaces

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Description The funHDDC algorithm allows to cluster functional univariate (Bouveyron and Jacques, 2011, <doi:10.1007/s11634-011-0095-6>) or multivariate data (Schmutz et al., 2018) by modeling each group within a specific functional subspace.

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funHDDC *Clustering univariate and multivariate functional data in group-specific functional subspaces*

Description

The funHDDC algorithm allows to cluster functional univariate (Bouveyron and Jacques, 2011, <doi:10.1007/s11634-011-0095-6>) or multivariate data (Schmutz et al., 2018) by modeling each group within a specific functional subspace.

Usage

```
funHDDC(data,K=1:10,model="AkjBkQkDk",threshold=0.2,itermax=200,eps=1e-6,init="kmeans",
criterion="bic",algo='EM', d_select="Cattell", init.vector=NULL, show=TRUE,
mini.nb=c(5, 10),min.individuals=2, mc.cores=1, nb.rep=1, keepAllRes=TRUE,
kmeans.control = list(), d_max=100)
```

Arguments

data	In the univariate case: a functional data object produced by the fda package, in the multivariate case: a list of functional data objects.
K	The number of clusters, you can test one partition or multiple partitions at the same time, for example K=2:10.
model	The chosen model among 'AkjBkQkDk', 'AkjBQkDk', 'AkBkQkDk', 'ABkQkDk', 'AkBQkDk', 'ABQkDk'. 'AkjBkQkDk' is the default. You can test multiple models at the same time with the command c(). For example c("AkjBkQkDk","AkjBQkDk").
threshold	The threshold of the Cattell' scree-test used for selecting the group-specific intrinsic dimensions.
itermax	The maximum number of iterations.
eps	The threshold of the convergence criterion.
init	A character string. It is the way to initialize the E-M algorithm. There are five ways of initialization: "kmeans" (default), "param", "random", "mini-em" or "vector". See details for more information. It can also be directly initialized with a vector containing the prior classes of the observations.
criterion	The criterion used for model selection: bic (default) or icl. But we recommend using slopeHeuristic function provided in this package.
algo	A character string indicating the algorithm to be used. The available algorithms are the Expectation-Maximisation ("EM"), the Classification E-M ("CEM") and the Stochastic E-M ("SEM"). The default algorithm is the "EM".
d_select	Either "Cattell" (default) or "BIC". This parameter selects which method to use to select the intrinsic dimensions of subgroups.
init.vector	A vector of integers or factors. It is a user-given initialization. It should be of the same length as of the data. Only used when init="vector".
show	Use show = FALSE to settle off the informations that may be printed.

<code>mini.nb</code>	A vector of integers of length two. This parameter is used in the “mini-em” initialization. The first integer sets how many times the algorithm is repeated; the second sets the maximum number of iterations the algorithm will do each time. For example, if <code>init=“mini-em”</code> and <code>mini.nb=c(5,10)</code> , the algorithm will be launched 5 times, doing each time 10 iterations; finally the algorithm will begin with the initialization that maximizes the log-likelihood.
<code>min.individuals</code>	This parameter is used to control for the minimum population of a class. If the population of a class becomes strictly inferior to <code>'min.individuals'</code> then the algorithm stops and gives the message: <code>'pop<min.indiv.'</code> . Here the meaning of “population of a class” is the sum of its posterior probabilities. The value of <code>'min.individuals'</code> cannot be lower than 2.
<code>mc.cores</code>	Positive integer, default is 1. If <code>mc.cores>1</code> , then parallel computing is used, using <code>mc.cores</code> cores. Warning for Windows users only: the parallel computing can sometimes be slower than using one single core (due to how <code>parLapply</code> works).
<code>nb.rep</code>	A positive integer (default is 1 for <code>kmeans</code> initialization and 20 for random initialization). Each estimation (i.e. combination of (model, K, threshold)) is repeated <code>nb.rep</code> times and only the estimation with the highest log-likelihood is kept.
<code>keepAllRes</code>	Logical. Should the results of all runs be kept? If so, an argument <code>all_results</code> is created in the results. Default is TRUE.
<code>kmeans.control</code>	A list. The elements of this list should match the parameters of the <code>kmeans</code> initialization (see <code>kmeans</code> help for details). The parameters are “ <code>iter.max</code> ”, “ <code>nstart</code> ” and “ <code>algorithm</code> ”.
<code>d_max</code>	A positive integer. The maximum number of dimensions to be computed. Default is 100. It means that the intrinsic dimension of any cluster cannot be larger than <code>d_max</code> . It quickens a lot the algorithm for datasets with a large number of variables (e.g. thousands).

Details

If you choose `init=“random”`, the algorithm is run 20 times with the same model options and the solution which maximises the log-likelihood is printed. This explains why sometimes with this initialization it runs a bit slower than with `'kmeans'` initialization.

If the warning message: “In `funHDDC(...)` : All models diverged” is printed, it means that the algorithm found less classes than the number you choose (parameter K). Because of the EM algorithm, it should be because of a bad initialization of the EM algorithm. So you have to restart the algorithm multiple times in order to check if, with a new initialization of the EM algorithm the model converges, or if there is no solution with the number K you choose.

The different initializations are:

“**param**”: it is initialized with the parameters, the means being generated by a multivariate normal distribution and the covariance matrix being common to the whole sample.

“**mini-em**”: it is an initialization strategy, the classes are randomly initialized and the E-M algorithm makes several iterations, this action is repeated a few times (the default is 5 iterations and

10 times), at the end, the initialization chosen is the one which maximise the log-likelihood (see `mini.nb` for more information about its parametrization).

“random”: the classes are randomly given using a multinomial distribution

“kmeans”: the classes are initialized using the `kmeans` function (with: `algorithm="Hartigan-Wong"`; `nstart=4`; `iter.max=50`); note that the user can use his own arguments for `kmeans` using the dot-dot-dot argument

A prior class vector: It can also be directly initialized with a vector containing the prior classes of the observations. To do so use `init="vector"` and provide the vector in the argument `init.vector`.

Note that the BIC criterion used in this function is to be maximized and is defined as $2*LL-k*log(n)$ where `LL` is the log-likelihood, `k` is the number of parameters and `n` is the number of observations.

Value

<code>d</code>	The number of dimensions for each cluster.
<code>a</code>	Values of parameter <code>a</code> for each cluster.
<code>b</code>	Values of parameter <code>b</code> for each cluster.
<code>mu</code>	The mean of each cluster in the original space.
<code>prop</code>	The proportion of individuals in each cluster.
<code>loglik</code>	The maximum of log-likelihood.
<code>loglik_all</code>	The log-likelihood at each iteration.
<code>posterior</code>	The posterior probability for each individual to belong to each cluster.
<code>class</code>	The clustering partition.
<code>BIC</code>	The BIC value.
<code>ICL</code>	The ICL value.
<code>complexity</code>	the number of parameters that are estimated.
<code>all_results</code>	if multiple number of clusters are tested or multiple models, results of each models are stored here

References

- C.Bouveyron and J.Jacques, Model-based Clustering of Time Series in Group-specific Functional Subspaces, *Advances in Data Analysis and Classification*, vol. 5 (4), pp. 281-300, 2011 <doi:10.1007/s11634-011-0095-6>
- C. Bouveyron, L. Cheze, J. Jacques, P. Martin and A. Schmutz, Clustering multivariate functional data in group-specic functional subspaces, Preprint HAL 01652467, 2017.

Examples

```
##Univariate example
library(fda)
data("trigo")
basis<- create.bspline.basis(c(0,1), nbasis=25)
var1<-smooth.basis(argvals=seq(0,1,length.out = 100),y=t(trigo[,1:100]),fdParobj=basis)$fd
```

```

res.uni<-funHDDC(var1,K=2,model="AkBkQkDk",init="kmeans",threshold=0.2)
plot(var1,col=res.uni$class)

##Multivariate example
library(fda)
data("triangle")
basis<- create.bspline.basis(c(1,21), nbasis=25)
var1<-smooth.basis(argvals=seq(1,21,length.out = 101),y=t(triangle[,1:101]),fdParobj=basis)$fd
var2<-smooth.basis(argvals=seq(1,21,length.out = 101),y=t(triangle[,102:202]),fdParobj=basis)$fd

res.multi<-funHDDC(list(var1,var2),K=3,model="AkjBkQkDk",init="kmeans",threshold=0.2)
par(mfrow=c(1,2))
plot(var1,col=res.multi$class)
plot(var2,col=res.multi$class)

##NOT RUN:
##You can test multiple number of groups at the same time
#data("trigo")
#basis<- create.bspline.basis(c(0,1), nbasis=25)
#var1<-smooth.basis(argvals=seq(0,1,length.out = 100),y=t(trigo[,1:100]),fdParobj=basis)$fd
#res.uni<-funHDDC(var1,K=2:4,model="AkBkQkDk",init="kmeans",threshold=0.2)

##You can test multiple models at the same time
#data("trigo")
#basis<- create.bspline.basis(c(0,1), nbasis=25)
#var1<-smooth.basis(argvals=seq(0,1,length.out = 100),y=t(trigo[,1:100]),fdParobj=basis)$fd
#res.uni<-funHDDC(var1,K=3,model=c("AkjBkQkDk","AkBkQkDk"),init="kmeans",threshold=0.2)

##another example on Canadian data
#library(fda)

###Clustering the "Canadian temperature" data (Ramsey & Silverman): univariate case
#daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
#daytempfd <- smooth.basis(day.5, CanadianWeather$dailyAv[,,"Temperature.C"], daybasis65,
#   fdnames=list("Day", "Station", "Deg C"))$fd

#res.uni<-funHDDC(daytempfd,K=3,model="AkjBkQkDk",init="random",threshold=0.2)

##Graphical representation of groups mean curves
#select1<-fd(daytempfd$coeffs[,which(res.uni$class==1)],daytempfd$basis)
#select2<-fd(daytempfd$coeffs[,which(res.uni$class==2)],daytempfd$basis)
#select3<-fd(daytempfd$coeffs[,which(res.uni$class==3)],daytempfd$basis)

#plot(mean.fd(select1),col="lightblue",ylim=c(-20,20),lty=1,lwd=3)
#lines(mean.fd(select2),col="palegreen2",lty=1,lwd=3)
#lines(mean.fd(select3),col="navy",lty=1,lwd=3)

###Clustering the "Canadian temperature" data (Ramsey & Silverman): multivariate case
#daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)

```

```

#daytempfd <- smooth.basis(day.5, CanadianWeather$dailyAv[, "Temperature.C"], daybasis65,
#   fdnames=list("Day", "Station", "Deg C"))$fd
#dayprecfd<-smooth.basis(day.5, CanadianWeather$dailyAv[, "Precipitation.mm"], daybasis65,
#   fdnames=list("Day", "Station", "Mm"))$fd

#res.multi<-funHDDC(list(daytempfd,dayprecfd),K=3,model="AkjBkQkDk",init="random",threshold=0.2)

##Graphical representation of groups mean curves
##Temperature
#select1<-fd(daytempfd$coefs[,which(res.multi$class==1)],daytempfd$basis)
#select2<-fd(daytempfd$coefs[,which(res.multi$class==2)],daytempfd$basis)
#select3<-fd(daytempfd$coefs[,which(res.multi$class==3)],daytempfd$basis)

#plot(mean.fd(select1),col="lightblue",ylim=c(-20,20),lty=1,lwd=3)
#lines(mean.fd(select2),col="palegreen2",lty=1,lwd=3)
#lines(mean.fd(select3),col="navy",lty=1,lwd=3)

##Precipitation
#select1b<-fd(dayprecfd$coefs[,which(res.multi$class==1)],dayprecfd$basis)
#select2b<-fd(dayprecfd$coefs[,which(res.multi$class==2)],dayprecfd$basis)
#select3b<-fd(dayprecfd$coefs[,which(res.multi$class==3)],dayprecfd$basis)

#plot(mean.fd(select1b),col="lightblue",ylim=c(0,5),lty=1,lwd=3)
#lines(mean.fd(select2b),col="palegreen2",lty=1,lwd=3)
#lines(mean.fd(select3b),col="navy",lty=1,lwd=3)

```

mfPCA

Functional principal component analysis for univariate or multivariate functional data

Description

It provides functional principal component analysis for univariate or multivariate functional data.

Usage

```
mfPCA(fdobj, center)
```

Arguments

fdobj	For univariate FPCA: a functional data object produced by fd() function of fda package, for multivariate FPCA: a list of functional data objects.
center	If TRUE (default), it centers each lines of data coefficients by the mean before calculating the FPCA.

Value

eigval	A list of eigen values.
harmonics	A functional data object for the harmonics or eigenfunctions.
scores	A matrix of scores on the harmonics.
varprop	A vector giving the proportion of variance explained by each harmonic.
meanfd	A functional data object giving the mean function after centering (default) or the mean function of raw data.

Examples

```
library(fda)

####Univariate case: "Canadian temperature" data (Ramsey & Silverman)
daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
daytempfd <- smooth.basis(day.5, CanadianWeather$dailyAv[, "Temperature.C"], daybasis65,
                          fdnames=list("Day", "Station", "Deg C"))$fd

res.pca<-mfpca(daytempfd)
plot.mfpca(res.pca)

####Multivariate case: "Canadian temperature" data (Ramsey & Silverman)
daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
daytempfd <- smooth.basis(day.5, CanadianWeather$dailyAv[, "Temperature.C"], daybasis65,
                          fdnames=list("Day", "Station", "Deg C"))$fd
daypreafd<-smooth.basis(day.5, CanadianWeather$dailyAv[, "Precipitation.mm"], daybasis65,
                        fdnames=list("Day", "Station", "Mm"))$fd

res.pca<-mfpca(list(daytempfd,daypreafd))
plot.mfpca(res.pca)
```

plot.mfpca

Graphical representation for MFPCA

Description

It provides graphical representations for MFPCA: smoothed data plots, scores plots, variation of the mean curve and eigenfunction plots.

Usage

```
## S3 method for class 'mfpca'
plot(x, nharm, threshold, ...)
```

Arguments

x	An object produced by mfpca function.
nharm	The number of harmonics for which you want graphical representations, default value is 3.
threshold	The threshold of proportion of variance that stop plotting, default value is 0.05.
...	Some additional parameters.

Value

Data plot	Plot of all smooth curves for each functional variable.
Scores plots	Plot of curves coordinates on the number of eigenfunctions/harmonics selected and depending on the threshold choosen.
Mean curve plots	Plot of variation of the mean curve. Variations are estimated based on the mean values with addition (red) and subtraction (blue) of each eigenfunction.
Eigenfunction plots	visualization of each eigenfunction/harmonic selected and depending on the threshold choosen.

Examples

```
library(fda)

####Univariate case: "Canadian temperature" data (Ramsey & Silverman)
daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
daytempfd <- smooth.basis(day.5, CanadianWeather$dailyAv[, "Temperature.C"], daybasis65,
                          fdnames=list("Day", "Station", "Deg C"))$fd

res.pca<-mfpca(daytempfd)
plot(res.pca,nharm=4)

####Multivariate case: "Canadian temperature" data (Ramsey & Silverman)
daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
daytempfd <- smooth.basis(day.5, CanadianWeather$dailyAv[, "Temperature.C"], daybasis65,
                          fdnames=list("Day", "Station", "Deg C"))$fd
dayprecfd<-smooth.basis(day.5, CanadianWeather$dailyAv[, "Precipitation.mm"], daybasis65,
                        fdnames=list("Day", "Station", "Mm"))$fd

res.pca<-mfpca(list(daytempfd,dayprecfd))
plot(res.pca,nharm=4)
```

predict.funHDDC *Predict new results from a funHDDC model*

Description

It provides predictions for a new functional dataset using the funHDDC model you train.

Usage

```
## S3 method for class 'funHDDC'
predict(object,newdata,...)
```

Arguments

object	An object produced by funHDDC function
newdata	In the univariate case: a functional data object produced by the fda package, in the multivariate case: a list of functional data objects, for which you want to predict the group membership from the model.
...	Some additional parameters.

Value

class	The clustering partition.
t	The probability of each individual to belong to each cluster.
L	The loglikelihood.

Examples

```
##Univariate example
library(fda)
data("trigo")
basis<- create.bspline.basis(c(0,1), nbasis=25)
suppressWarnings(RNGversion("3.5.0"))
set.seed(1)
z<-sample(1:100,0.8*100,replace=FALSE)
var1_train<-smooth.basis(argvals=seq(0,1,length.out = 100),y=t(trigo[z,1:100]),
                        fdParobj=basis)$fd
var1_test<-smooth.basis(argvals=seq(0,1,length.out = 100),y=t(trigo[-z,1:100]),
                       fdParobj=basis)$fd

model<-funHDDC(var1_train,K=2)
pred<-predict(model,var1_test)

##Multivariate example
library(fda)
data("triangle")
basis<- create.bspline.basis(c(1,21), nbasis=25)
suppressWarnings(RNGversion("3.5.0"))
```

```

set.seed(1)
z<-sample(1:100,0.8*100,replace=FALSE)
var1_train<-smooth.basis(argvals=seq(1,21,length.out = 101),y=t(triangle[z,1:101]),
  fdParobj=basis)$fd
var1_test<-smooth.basis(argvals=seq(1,21,length.out = 101),y=t(triangle[-z,1:101]),
  fdParobj=basis)$fd
var2_train<-smooth.basis(argvals=seq(1,21,length.out = 101),y=t(triangle[z,102:202]),
  fdParobj=basis)$fd
var2_test<-smooth.basis(argvals=seq(1,21,length.out = 101),y=t(triangle[-z,102:202]),
  fdParobj=basis)$fd

model<-funHDDC(list(var1_train,var2_train),K=3)
pred<-predict(model,list(var1_test,var2_test))

##NOT RUN: another example on Canadian data
#library(fda)

##Clustering the "Canadian temperature" data (Ramsey & Silverman): univariate case
daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
#z<-sample(1:35,0.9*35,replace=FALSE)
#daytempfd_train <- smooth.basis(day.5, CanadianWeather$dailyAv[,z,"Temperature.C"],
#daybasis65,fdnames=list("Day", "Station", "Deg C"))$fd
#daytempfd_test <- smooth.basis(day.5, CanadianWeather$dailyAv[-z,"Temperature.C"],
#daybasis65,fdnames=list("Day", "Station", "Deg C"))$fd

#model<-funHDDC(daytempfd_train,K=3)
#pred<-predict.funHDDC(model,daytempfd_test)

##Clustering the "Canadian temperature" data (Ramsey & Silverman): multivariate case
#daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
#z<-sample(1:35,0.9*35,replace=FALSE)
#daytempfd_train <- smooth.basis(day.5, CanadianWeather$dailyAv[,z,"Temperature.C"],
#daybasis65,fdnames=list("Day", "Station", "Deg C"))$fd
#daytempfd_test <- smooth.basis(day.5, CanadianWeather$dailyAv[-z,"Temperature.C"],
#daybasis65,fdnames=list("Day", "Station", "Deg C"))$fd
#dayprecfd_train<-smooth.basis(day.5, CanadianWeather$dailyAv[,z,"Precipitation.mm"],
#daybasis65,fdnames=list("Day", "Station", "Mm"))$fd
#dayprecfd_test<-smooth.basis(day.5, CanadianWeather$dailyAv[-z,"Precipitation.mm"],
#daybasis65,fdnames=list("Day", "Station", "Mm"))$fd

#model<-funHDDC(list(daytempfd_train,dayprecfd_train),K=3)
#pred<-predict.funHDDC(model,list(daytempfd_test,dayprecfd_test))

```

Description

It provides slope heuristic for number of groups selection or model selection (see Schmutz et al, 2018).

Usage

```
slopeHeuristic(mod)
```

Arguments

`mod` A funHDDC object with different number of groups tested or different models.

Details

This function works for model selection. If you test one model (for example AkjBQkDk) and multiple clusters, the function returns the number of clusters selected by slope heuristic. If you test multiple models (for example all 6 models) and one or multiple values of clusters, the function returns the rank of the best model selected by slope heuristic. The rank is the first column of the output provided by funHDDC function.

Value

The best partition if the function is used for the selection of number of clusters. The index of the best model, among all estimated models if the function is used for model selection.

Examples

```
library(fda)

##Univariate example: multiple clusters, one model tested
data("trigo")
basis<- create.bspline.basis(c(0,1), nbasis=25)
var1<-smooth.basis(argvals=seq(0,1,length.out = 100),y=t(trigo[,1:100]),fdParobj=basis)$fd
res.uni<-funHDDC(var1,K=2:10,model="AkBkQkDk")
slopeHeuristic(res.uni)

##Univariate example: one cluster, 6 models tested
data("trigo")
basis<- create.bspline.basis(c(0,1), nbasis=25)
var1<-smooth.basis(argvals=seq(0,1,length.out = 100),y=t(trigo[,1:100]),fdParobj=basis)$fd
res.uni<-funHDDC(var1,K=2,model=c("AkjBkQkDk","AkjBQkDk","AkBkQkDk",
"AKBQkDk","ABkQkDk","ABQkDk"))
slopeHeuristic(res.uni)

##Multivariate example
data("triangle")
basis <- create.bspline.basis(c(1,21), nbasis=25)
var1<-smooth.basis(argvals=seq(from=1,to=21,length.out = 101),y=t(triangle[,1:101]),
fdParobj=basis)$fd
var2<-smooth.basis(argvals=seq(from=1,to=21,length.out = 101),y=t(triangle[,102:202]),
fdParobj=basis)$fd
```

```

res.multi<-funHDDC(list(var1,var2),K=2:10,model="AkjBQkDk")
slopeHeuristic(res.multi)

##NOT RUN: an other example on Canada dataset
#library(fda)

##Clustering the "Canadian temperature" data (Ramsey & Silverman): univariate case
#daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
#daytempfd <- smooth.basis(day.5, CanadianWeather$dailyAv[,,"Temperature.C"], daybasis65,
#fdnames=list("Day", "Station", "Deg C"))$fd

#res.uni<-funHDDC(daytempfd,K=2:10,model="AkjBkQkDk",threshold=0.2)

#slopeHeuristic(res.uni)

##Clustering the "Canadian temperature" data (Ramsey & Silverman): multivariate case
#daybasis65 <- create.fourier.basis(c(0, 365), nbasis=65, period=365)
#daytempfd <- smooth.basis(day.5, CanadianWeather$dailyAv[,,"Temperature.C"], daybasis65,
#fdnames=list("Day", "Station", "Deg C"))$fd
#dayprecfd<-smooth.basis(day.5, CanadianWeather$dailyAv[,,"Precipitation.mm"], daybasis65,
#fdnames=list("Day", "Station", "Mm"))$fd

#res.multi<-funHDDC(list(daytempfd,dayprecfd),K=2:8,model="AkjBkQkDk",
#init="random",threshold=0.2)

#slopeHeuristic(res.multi)

```

triangle

Simulated functional bivariate dataset

Description

A simulated dataset of bivariate functional data from 3 groups.

Usage

```
triangle
```

Format

A dataframe with 100 lines and 203 columns. A row represents a curve and a column a sampling point. Columns from 1 to 101 are sampling points of the first functional variable. Columns from 102 to 202 are sampling points of the second functional variable. Column 203 is the variable which indicates the true clustering partition.

trigo	<i>Simulated functional univariate dataset</i>
-------	--

Description

A simulated dataset of univariate functional data from 2 groups.

Usage

```
trigo
```

Format

A dataframe with 100 lines and 101 columns. A row represents a curve and a column a sampling point. Columns from 1 to 100 are sampling points of the functional variable. Column 101 is the variable which indicates the true clustering partition.

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